

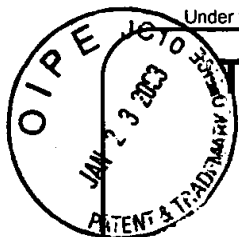
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1642

PTO/SB/21 (08-00)

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TRANSMITTAL
FORM

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Total Number Of Pages In This Submission

6

Application Number

10/010,667

Filing Date

6 December 2001

First Named Inventor

Daniel E. H. AFAR, et al.

Group Art Unit

1642

Examiner Name

To be assigned

Attorney Docket No.

511582001621

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Assignment Papers
(for an Application)☐ After Allowance Communication to Group☐ Fee Attached☐ Drawing(s)☐ Appeal Communication to Board of Appeals and Interferences☐ Amendment / Reply☐ Licensing-related Papers☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ After Final☐ Petition☐ Proprietary Information☐ Affidavits/declarations☐ Petition to Convert to a Provisional Application☐ Status Letter☐ Extension of Time Request☐ Power of Attorney, Revocation
Change of Correspondence Address☒ Other Enclosure(s) (please identify below):☐ Return postcard☐ Express Abandonment Request☐ Terminal Disclaimer☐ Request for Refund☒ Information Disclosure Statement;
PTO form 1449☒ CD, Number of CD(s) 1 with 24 references☐ Certified Copy of Priority Document(s)

Remarks

☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Go

Timothy J. Lithgow

or

Registration No. 36,856

Individual Name

Signature

(50,128)

Date

January 23, 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV 042150981 US

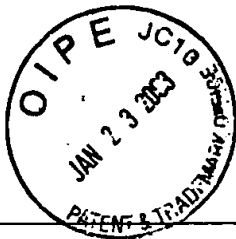
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Garee A. Haney

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sd-128105



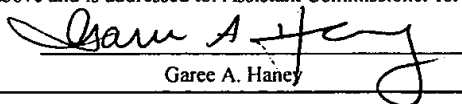
PATENT
Docket No. 511582001621

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Garee A. Haney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

DANIEL E. H. AFAR, *et al.*

Serial No.: 10/010,667

Filing Date: 6 December 2001

For: PEPTIDES DERIVED FROM STEAP1
(AS AMENDED)

Examiner: To be assigned

Group Art Unit: 1642

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith on CD-R due to their length. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

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This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

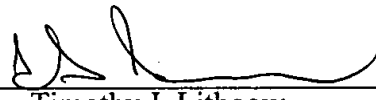
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 511582001621. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 23, 2003

Respectfully submitted,

By:  (50,128)
for Timothy J. Lithgow
Registration No. 36,856
Patent Counsel for Agensys, Inc.

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